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\*LIMITED TO MATTERS  
AND PROCEEDINGS BEFORE  
FEDERAL COURTS & AGENCIES  
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\*\*\*SENIOR COUNSEL

January 4, 2002

WRITER'S DIRECT NUMBER:  
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Commissioner for Patents  
Washington, D.C. 20231

**Box Missing Parts**

Re: U.S. Utility Patent Application  
Appl. No.09/917,789; Filed: July 31, 2001  
For: **Model For Alzheimer's Disease And Other Neurodegenerative  
Diseases**  
Inventors: Lynch *et al.*  
Our Ref: 1819.0030002/MAC

Sir:

In reply to the "Notice to File Missing Parts of Application--Filing Date Granted," dated September 10, 2001, Applicants submits the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. Assertion of Small Entity Status under 37 C.F.R. § 1.27(c);
2. PTO Fee Transmittal Form PTO/SB/17;
3. Petition for Extension of Time under 37 C.F.R. § 1.136;
4. Copy of the Notice to File Missing Parts;
5. Original Declaration, executed by the inventors;
6. Thirty-One (31) Substitute Sheets of Formal Drawings (Figs. 1A-1D, 2A-2B, 3A-3B, 4A-4C, 5A-5B, 6A-6B, 7-9C, 10A-10C, 11A-11D, 12A-12B, 13-14B, 15-18D, 19A-19D, 20-21B, 22-24C, 25A-25C, 26-29E and 30A-30B);

Commissioner for Patents

January 4, 2002

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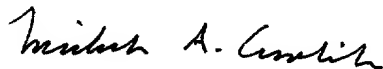
7. Return postcard; and
8. Our Check No. 33735 for \$1,878.00 to cover:  
\$370.00 Filing Fee for Patent Application (37 C.F.R. § 1.16)  
\$1178.00 Fee for excess claims (37 C.F.R. § 1.16)  
\$130.00 Surcharge for late filing of Declaration (37 C.F.R. § 1.16)  
\$200.00 For extension of time fees under 37 C.F.R. § 1.136.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Michele A. Cimbala  
Attorney for Applicants  
Registration No. 33,851

MAC:krm

Enclosures

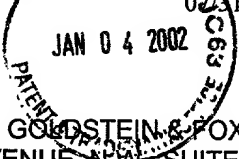


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/917,789	02/31/2001	Gary Lynch	1819.0030002

JAN 04 2002



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WASHINGTON, DC 20005-3934

CONFIRMATION NO. 1493

## FORMALITIES LETTER



\*OC000000006530741\*

Date Mailed: 09/10/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$2326.  
  - \$1656 for 92 total claims over 20.
  - \$400 for 5 independent claims over 3.
  - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 3166.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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void date: 01/05/2002 BSAYAS11  
01/08/2002 BSAYAS11 00000050 09917789  
01 FC:201 -570.00 DP

01 FC:201 370.00 DP  
02 FC:202 210.00 DP  
03 FC:203 828.00 DP  
04 FC:204 140.00 DP  
05 FC:205 65.00 DP

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***A copy of this notice MUST be returned with the reply.***

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Initial Patent Examination Division (703) 308-1202

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**